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November 18, 2003

MAIL STOP PATENT APPLICATION

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

EXPRESS MAIL MAILING LABEL NO.: EL 794581408 US DATE OF DEPOSIT: NOVEMBER 18, 2003 I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above and is addressed to MAIL STOP PATENT APPLICATION, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

DERRICK T. GORDON

Name of Person Mailing Paper or Fee

Signature

November 18, 2003 Date of Signature

Re:

New U.S. Utility Patent Application Transmittal

METHOD FOR FABRICATING A PRISM AND For:

METHOD FOR FABRICATING AN OPTICAL SYSTEM

By:

Kazuyuki NISHI, Yuichiro ORI, Tomokazu TAGUCHI

and Kazunari TADA

Attorney Docket: 15162/06290

Dear Sir:

This Utility Patent Application Transmittal is submitted in connection with METHOD FOR FABRICATING A PRISM AND METHOD FOR FABRICATING AN OPTICAL SYSTEM, by Kazuyuki NISHI, Yuichiro ORI, Tomokazu TAGUCHI and Kazunari TADA, inventors.

Enclosed herewith are the following:

## APPLICATION ELEMENTS:

- A duplicate copy of this Transmittal Letter (containing a breakdown of amount of (1) fees due and deposit account authorization);
- Specification (27 pages, including 18 claims and an abstract); (2)
- Formal Drawings (4 sheets) (Figs. 1-6); and (3)

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(4) Executed Declaration and Power of Attorney (9 pages).

## **ACCOMPANYING APPLICATION PARTS:**

- (5) Information Disclosure Statement (2 pages);
- (6) PTO-1449 Form (1 page);
- (7) Copies of three (3) references cited in the Information Disclosure Statement;
- (8) Submission of Certified Copy of Priority Documents (2 pages) transmitting a certified copy of Japanese Patent Application No. 2003-073163 (18 pages) and Application No. 2003-201467 (27 pages);
- (9) Assignment (3 pages) of the invention to KONICA MINOLTA OPTO, INC., with attached Recordation Form Cover Sheet (1 page) (in duplicate); and
- (10) One Return Receipt Post Card each for the following grouping of items: (1)-(4), (5)-(7), (8), and (9) above.

The amount of the filing fees is calculated below:

|                                    |              |              | Fee for small entity |       |    | Fee for other than small entity |         |
|------------------------------------|--------------|--------------|----------------------|-------|----|---------------------------------|---------|
| Fee                                | No.<br>filed | No.<br>extra | Rate                 | Fee   | OR | Rate                            | Fee     |
| Basic fee                          |              |              |                      | \$385 | OR | \$ 770                          | \$ 770  |
| Total claims                       | 18-20=       | 0            | X \$9=               | \$0   | OR | X \$18=                         | \$ -0-  |
| Independent claims                 | 6-3=         | 3            | X \$43=              | \$0   | OR | X \$86=                         | \$ 258  |
| Multiple Dependent Claim Presented | -0-=         | -0-          | +\$145=              | \$0   | OR | +\$ 290=                        | -0-     |
|                                    |              | •            | Total                | \$0   | OR | Total                           | \$ 1028 |

<sup>\*</sup>If the difference in Column 1 is less than zero, enter "0" in Column 2.

Please charge the required fees of \$1,068.00 (\$770.00 for the filing fee, \$258.00 for the excess claim fee, and \$40.00 for the recordation of Assignment fee) to Sidley Austin Brown &

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Wood LLP's Deposit Account No. 18-1260. If additional fees are due, please charge any fees during the pendency of this application (other than the issue fee) to Deposit Account No. 18-1260. Please credit any overpayment to Deposit Account No. 18-1260.

All correspondence is to be directed to the address associated with the Customer Number 24367.

Respectfully submitted,

Douglas A. Sorensen

Reg. No. 31,570

Attorney for Applicants

DAS/llb Enclosures